FORM PT			ARTMENT	OF COMM	ERCE PATENT AND TRADEMARK OFFICE	ATTORNEY'S DOCKET NUMBER				
ALV. 2/0		SMITT	'AL LE	04914,0054-00000						
DES	IGNATI			U.S. APPLICATION NO.						
			ILING	(If known, see 37CFR1.5)						
						10/595,772				
INTER	NATIONA	L APPLI	CATION	NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED				
PCT/EP2004/012372					November 2, 2004	November 24, 2003				
TITLE	OF INVI	ENTION	: DEGA	SSING	DEVICE AND END-CAP ASSEMBL	Y FOR A FILTER INCLUDING				
SUCH	A DEGA	ASSING	DEVIC	E						
APPLI	CANT(S)	FOR D	O/EO/US	S: Jürg	en DANNENMAIER et al.					
Applica	int(s) herev	with subm	its to the	United St	ates Designated/Elected Office (DO/EO/US)	the following items and other information:				
1.		This is a	FIRST	submissio	on of items concerning a filing under 35 U.S.C	371.				
2.	$\boxtimes$			ECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.						
3.			This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.							
4.	. 🗆	The US has been elected by the expiration of 19 months from the priority date (Article 31).								
5.		А сору	of the Int	ernationa	l Application as filed (35 U.S.C. 371 (c)(2)).					
		a.		is atta	ched hereto (required only if not communicate	d by the International Bureau.				
ļ		b.		has be	en communicated by the International Bureau					
•		c.		is not	required, as the application was filed with the	United States Receiving Office (RO/US).				
6.		An Eng	lish langu	uage trans	slation of the International Application as filed	(35 U.S.C. 371 (c)(2)).				
ŀ		a.		is atta	ched hereto.					
		b.		has be	en previously submitted under 35 U.S.C. 154	(d)(4).				
7.		Amend	ments to t	the claims	s of the International Application under PCT	article 19 (35 U.S.C. 371 (c)(3)).				
l		a.		are att	ached hereto (required only if not communica	ted by the International Bureau).				
		b.		have b	peen communicated by the International Bureau.					
		c.		have r	ot been made; however, the time limit for ma	king such amendments has NOT expired.				
		d.		have r	ot been made and will not be made.					
8.		An Eng	lish langı	uage trans	slation of the amendments to the claims under	PCT Article 19 (35 U.S.C. 371 (c)(3)).				
9.	$\boxtimes$	An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).								
10.		An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).								
Items	l 1 to 20 be	elow conc	ern docu	ment(s) (	or information included:					
11.					atement under 37 CFR 1.97 and 1.98					
12.	×	An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.								
13.		A FIRS	T prelimi	inary ame	endment.					
14.	$\boxtimes$	A SECOND or SUBSEQUENT preliminary amendment.								
15.		A Substitute specification.								
16.	$\boxtimes$	A chan	A change of power of attorney and/or address letter.							
17.		A comp	A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825.							
18.				_	lished international application under 35 U.S.					
19.		A secon	A second copy of the English language translation of the international application 35 U.S.C. 154 (d)(4).							
20.	$\boxtimes$	Other items or information:								
		a.		Сору	of cover page of International Publication No	WO				
I		b.	$\boxtimes$	Conv	of Notification of Missing Requirements.					

	U.S. APPLICATION NO. (If known, see 3/CFR 1.5)					INTERNATIONAL APPLICATION NO.		ATTORNEY'S DOCKET NUMBER			
	10/595,772					PCT/EP2004/012372			04914.0054-00000		
	21.	21.  The following fees are submitted:									USE ONLY
	BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):  Neither international preliminary examination fee (37 CFR 1.482)  nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO  and International Search Report not prepared by the EPO or JPO										
		International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO									
		International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search fee (37 CFR 1.445(a)(2)) paid to USPTO									
		International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4)									
		International preliminary examination fee (37 CFR 1.482) paid to USPTOand all claims satisfied provisions of PCT Article 33 (1)-(4)									
					•	ENTER APPROP	RIATE BASIC FEE AMO	UNT =	\$		
		Surcharge of \$130.00 for furnishing the oath or declaration later than anonths from the earliest claimed priority date (37 CFR 1.492 (e)).						\$	130.00		
		CLAIM	IS .	NUM	BER FILED	NUMBER EXTRA	RATE				
	Tota	ıl Claims		40	- 20 =	1 (have already paid for 19)	x \$ 50.00		\$	50.00	
	Inde	pendent	Claims	9	-3 =	6 (have already paid for 6)	x \$200.00		\$	0.00	
	MU	LTIPLE	DEPENI	DENT CLA	IM(S) (if applicabl	e)	+\$360.00		\$		
			•			TOTAL OF T	HE ABOVE CALCULATI	ONS =	\$	180.00	
		Applica	nt claim	s small en	tity status. See 3	7 CFR 1.27. The fees i	indicated above are reduced	by ½.	\$		
		SUBTOTAL =							\$	180.00	
		Processing fee of \$130.00 for furnishing the English translation later than  20  30 months from the earliest priority date (37 CFR 1.492(f)).									
		TOTAL NATIONAL FEE =									
		Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property.								40.00	
08/11/200	8 LLANDGRA 00000023 10595772 TOTAL FEES ENCLOSED =									-220.00	
01 FC:161 02 FC:161	FC:1617 130.00 GP FC:1615 50.00 GP			130.00 OP 50.00 OP				Amou refun	ınt to be ded:	\$	
										ed:	\$
	a.	check in the amount of \$ 40.00 to cover the Assignment recordation fee are enclosed.									
	b.	b. Please charge my Deposit Account No in the amount of \$ A duplicate copy of this sheet is enclosed.									
	c.	c.									
	d.	d.  Fees are to be charged to a credit card. WARNING: Information on this form may become provide not be included on this form. Provide credit card information and authorization on								Credit card )38.	information
		NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revibe filed and granted to restore the application to pending status.								CFR 1.137 (	a) or (b)) must
	ı	SEND ALL CORRESPONDENCE TO:									
						b Dunner, L.L.P.	2 / 000-11				·
				venue, N 2. 20001	I.W.		SIGNATURE	n			
							Philip J. Hoffmann / 46,340 NAME/REGISTRATION				
	DA	TED: A	August 8	3, 2008			NAMENEORSTANTION	110.			



## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.			
10/595,772	Jurgen Dannenmaier	PN0128-US01			
24994		INTERNATIONAL APPLICATION NO.			
GAMBRO BCT, INC	MAY 1 3 2008 1	PCT/EP04/12372			
IP DEPARTMENT	22000	I.A. FILING DATE PRIORITY DATE			
10810 WEST COLLINS AVE		. 11/02/2004 11/24/2003			

CONFIRMATION NO. 6370 371 FORMALITIES LETTER



Date Mailed: 05/09/2008

LAKEWOOD, CO 80215

## NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated Office (37 CFR 1.494):

- Priority Document
- Copy of the International Application filed on 05/10/2006
- Copy of the International Search Report filed on 05/10/2006
- Copy of IPE Report filed on 05/10/2006
- Preliminary Amendments filed on 05/10/2006
- Information Disclosure Statements filed on 08/09/2006
- U.S. Basic National Fees filed on 05/10/2006
- Priority Documents filed on 05/10/2006

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Additional claim fees of \$7200 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.
- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$7330 for a Large Entity:

• \$130 Surcharge.

Total additional claim fee(s) for this application is \$7200

• \$7200 for 163 total claims over 20.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE  $=7/9/\omega$  DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

page 1 of 2

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web. <a href="https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html">https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html</a>

For more information about EFS-Web please call the USPTO Electronic Business Center at 1-866-217-9197 or visit our website at <a href="http://www.uspto.gov/ebc.">http://www.uspto.gov/ebc.</a>

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

TERRY M JOHNSON-VESSELS					
Telephone: (703) 308-9140 EXT 221					